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Pooled securities definition

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Pooled securities means eligible securities held (/dictionary/securities-held) by an agent (/clause/an-agent) of depository for a depository and securing deposits of one or more local agencies (/dictionary/local-agencies).

Sample 1 (https://cacttc.memberclicks.net/assets/documents/CA%20County%20Treasurer%20Reference%20Manual_Oct%201%202014.pdf)

Sample 2 (https://www.cacttc.org/assets/documents/CA%20County%20Treasurer%20Reference%20Manual_Oct%201%202014.pdf)

Sample 3 (<https://www.san-clemente.org/Home/ShowDocument?id=31374>) 3

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Examples of *Pooled securities* in a sentence

Pooled securities in which the reporting institution purchases or sells participations: Similarly, these transactions are not to be treated as purchases or sales of the securities in the pool but as lending or borrow- ing (i.e., financing) transactions collateralized by the **pooled securities** if the participation agreements meet the criteria for a borrowing set forth in FASB State- ment No. 140.

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However, it is evident that, because Dr. Shehata has since been terminated, this matter is now ripe for adjudication and no longer presents an abstract future harm.

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Pooled securities: Financial Instruments held by a prime broker on behalf of its clients may be pooled with segregated securities belonging to other clients of the prime broker.

Related to *Pooled securities*

Approved Securities (/dictionary/approved-securities) means securities of any State Government or of the Central Government and such bonds, both the principal whereof and the interest whereon shall have been fully and unconditionally guaranteed by any such Government;

Designated Securities (/dictionary/designated-securities) means securities issued by an Insured, or by any Affiliated Entity, or by any Fund to which such Insured or any Affiliated Entity provides any services.

Escrowed Securities (/dictionary/escrowed-securities) means the direct, noncallable obligations of the United States of America, as described in the Escrow Agreement.

Related Securities (/dictionary/related-securities) means any options or warrants or other rights to acquire Shares or any securities exchangeable or exercisable for or convertible into Shares, or to acquire other securities or rights ultimately exchangeable or exercisable for or convertible into Shares.

Permitted Securities (/dictionary/permitted-securities) means any of the following:

Exempted Securities (/dictionary/exempted-securities) means (a) shares of Common Stock or rights, warrants or options to purchase Common Stock issued in connection with any Acquisition, (b) equity securities issued by reason of a dividend, stock split, split-up or other distribution on shares of Common Stock, (c) shares of Common Stock or rights, warrants or options to purchase Common Stock issued to employees or directors of, or consultants or advisors to, the Company or any of its Subsidiaries pursuant to a plan, agreement or arrangement approved by the Board of Directors ("Equity Plans"), or (d) shares of Common Stock actually issued upon the exercise of options or shares of Common Stock actually issued upon the conversion or exchange of any securities convertible into Common Stock, in each case provided that such issuance is pursuant to the terms of the applicable option or convertible security.

Equity-linked Securities (/dictionary/equity-linked-securities) means any debt or equity securities that are convertible, exercisable or exchangeable for Class A Shares issued in a financing transaction in connection with a Business Combination, including but not limited to a private placement of equity or debt.

NIM Securities (/dictionary/nim-securities) Any debt securities secured or otherwise backed by some or all of the Class X and Class P Certificates that are rated by one or more Rating Agencies.

Specified Securities (/dictionary/specified-securities) means 'equity shares' and 'convertible securities' as defined under clause (zj) of sub-regulation (1) of regulation 2 of the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulations, 2009;

Listed Securities (/dictionary/listed-securities) means any Shares, options, stock, debentures or other securities issued by the Company from time to time and quoted on the Official List;

Covered Securities (/dictionary/covered-securities) means any note, stock, treasury stock, security future, bond, debenture, evidence of indebtedness, certificate of interest or participation in any profit-sharing agreement, collateral-trust certificate, preorganization certificate or subscription, transferable share, investment contract, voting-trust certificate, certificate of deposit for a security, fractional undivided interest in oil, gas, or other mineral rights, any put, call, straddle, option, or privilege on any security (including a certificate of deposit) or on any group or index of securities (including any interest therein or based on the value thereof), or any put, call, straddle, option, or privilege entered into on a national securities exchange relating to foreign currency, or, in general, any interest or instrument commonly known as a "security", or any certificate of interest or participation in, temporary or interim certificate for, receipt for, guarantee of, or warrant or right to subscribe to or purchase any of the foregoing.

Asset-Backed Securities (/dictionary/asset-backed-securities) means securities which typically entitle the holders thereof to receive payments that depend primarily on the cash flow from a specified pool of financial assets, either fixed or revolving, that by their terms convert into cash within a finite time period, together with rights or other assets designed to assure the servicing or timely distribution of proceeds to holders of such securities.

CHESS Approved Securities (/dictionary/chess-approved-securities) means securities of the Company for which CHESS approval has been given in accordance with the ASX Settlement Operating Rules;

Securities (/dictionary/securities) as used herein does not include (i) securities of issuers that are affiliated with the Buyer, (ii) securities that are part of an unsold allotment to or subscription by the Buyer, if the Buyer is a dealer, (iii) securities issued or guaranteed by the U.S. or any instrumentality thereof, (iv) bank deposit notes and certificates of deposit, (v) loan participations, (vi) repurchase agreements, (vii) securities owned but subject to a repurchase agreement and (viii) currency, interest rate and commodity swaps.

Purchaser Securities (/dictionary/purchaser-securities) means the Purchaser Units, the Purchaser Common Stock, the Purchaser Preferred Stock and the Purchaser Warrants, collectively.

Unrestricted Securities (/dictionary/unrestricted-securities) with respect to any series of Securities, means a Security (i) effectively registered under the Securities Act and disposed of in accordance with a registration statement with respect to such series or (ii) distributed to the public pursuant to Rule 144 under the Securities Act or any similar provision then in force.

Qualified Securities (/dictionary/qualified-securities) means securities of a reporting issuer that carry the right to participate in voting on the appointment or removal of the reporting issuer's auditor;

Rated Securities (/dictionary/rated-securities) means the Notes, if at any time and for so long as they have a rating from a Rating Agency, and otherwise any other unsecured and unsubordinated debt of the Issuer (or of any Subsidiary of the Issuer and which is guaranteed on an unsecured and unsubordinated basis by the Issuer) having an initial maturity of five years or more which is rated by a Rating Agency.

Released Securities (/dictionary/released-securities) means Shares of Restricted Stock with respect to which all applicable restrictions have expired, lapsed, or been waived.

Additional Purchased Securities (/dictionary/additional-purchased-securities) Securities provided by Seller to Buyer pursuant to Paragraph 4(a) hereof;

Offered Securities (/dictionary/offered-securities) shall have the meaning specified in Section 5.03(b)(ii)(B).

Subordinated Securities (/dictionary/subordinated-securities) means Securities that by the terms established pursuant to Subsection 2.3(9) are subordinated in right of payment to Senior Indebtedness of the Issuer.

ETP Securities (/dictionary/etp-securities) means the Series of ETP Securities to which these Conditions relates or, as the context may require, any or all securities issued by the Issuer under the Programme.

Refused Securities (/dictionary/refused-securities) has the meaning set forth in Section 4.15(c).

Equity Preferred Securities (/dictionary/equity-preferred-securities) means (i) debt or preferred securities that are mandatorily convertible or mandatorily exchangeable into common shares of the Borrower and (ii) any other securities, however denominated, including but not limited to hybrid capital and trust originated preferred securities, (A) issued by the Borrower or any Consolidated Subsidiary of the Borrower, (B) that are not subject to mandatory redemption or the underlying securities, if any, of which are not subject to mandatory redemption, (C) that are perpetual or mature no less than 30 years from the date of issuance, (D) the indebtedness issued in connection with which, including any guaranty, is subordinate in right of payment to the unsecured and unsubordinated indebtedness of the issuer of such indebtedness or guaranty, and (E) the terms of which permit the deferral of the payment of interest or distributions thereon to a date occurring after the Termination Date.

Liquid Securities (/dictionary/liquid-securities) means securities that are publicly traded on the New York Stock Exchange, the American Stock Exchange or the Nasdaq Stock Market and as to which the Company or any Restricted Subsidiary is not subject to any restrictions on sale or transfer (including any volume restrictions under Rule 144 under the Securities Act or any other restrictions imposed by the Securities Act) or as to which a registration statement under the Securities Act covering the resale thereof is in effect for as long as the securities are held; provided that securities meeting the foregoing requirements shall be treated as Liquid Securities from the date of receipt thereof until and only until the earlier of (a) the date on which such securities are sold or exchanged for cash or Cash Equivalents and (b) 180 days following the date of receipt of such securities. If such securities are not sold or exchanged for cash or Cash Equivalents within 180 days of receipt thereof, for purposes of determining whether the transaction pursuant to which the Company or a Restricted Subsidiary received the securities was in compliance with Section 4.11, such securities shall be deemed not to have been Liquid Securities at any time.

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